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PATENT  
Attorney Docket No. 85045-0002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Michael Dwinnell

Application No.: 09/931,168

Filed: August 17, 2001

For: BROADCASTING  
INFORMATION AND  
PROVIDING DATA ACCESS  
OVER THE INTERNET TO  
INVESTORS AND MANAGERS  
ON DEMAND

) Art Unit: 2152

) Examiner:

) UNKNOWN/ASSIGNED

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Technology Center 2100

Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached Form PTO-1449, which the Examiner may deem relevant to the patentability of the above-identified application. One copy of each of the listed documents is submitted herewith. Also enclosed is a copy of the International Search Report submitted by the U.S. Patent and Trademark Office.

This information disclosure statement is being filed within one of the following time periods: within three months of the filing date of this application other than a continued prosecution application, or within three months of the date of entry into the national stage of this international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114. Therefore, no certification under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

Applicant respectfully requests that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission the listed documents are material or constitute "prior art." If the Examiner applies the documents as "prior art" against any claims in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such document.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should the document be applied against the claims of the present application.

Except for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account No. 50-1349. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. § 1.136(a)(3).

Respectfully submitted,

**HOGAN & HARTSON LLP**

Dated: February 19, 2002

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**U.S. Department of Commerce  
Patent and Trademark Office**

**ATTY. DOCKET NO.**  
**85045-0002**

**SERIAL NO.**  
**09/931,168**

**LIST OF MATERIALS CITED BY APPLICANT**  
(Use several sheets if necessary)

**APPLICANT**  
**Michael Dwinnell**

**FILING DATE**  
**August 17, 2001**

**GROUP  
2152**

## U.S. PATENT DOCUMENTS

61  
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**FOREIGN PATENT DOCUMENTS**

[illegible]

**NON-PATENT DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER	DATE CONSIDERED	

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.